

**The Roles of Leadership in High Performing-High Poverty Schools: A Case Study of Four
Torchbearer Principals and Their Schools**

by

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A dissertation submitted to the Graduate Faculty of
Auburn University
in partial fulfillment of the
requirements for the Degree of
Doctor of Philosophy

Auburn, Alabama
May 4, 2013

“Keywords:” High Performing-High Poverty Schools, Leadership, Alabama Torchbearer
Schools, Leadership Context, Authentic Leadership

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Abstract

This study presents a comparative case study of four Torchbearer high performing-high poverty schools located in south Alabama. Using the underlying assumption that all children can learn and that principals are integral to student academic success, this study examined the behaviors of high performing-high poverty school principals and the belief systems that they operate from to develop a deeper understanding of the types of leadership, structures, and support systems that promote improved learning for all students. Individual case studies were done for each school site. After considering each case separately, a cross-case analysis was conducted. Multiple data sources including the use of an in-depth semi-structured interview protocol with the principals, a principal self-assessment survey (Principal Instructional Management Rating Scale, PIMRS; Hallinger, 1982) a whole staff survey (PIMRS; Hallinger 1982), document analysis and field notes were collected while shadowing each principal provided access to multiple perspectives and data sources, allowing for triangulation of data.

Acknowledgements

There have been many who have supported me during this journey; however there is truly only one person whom made all of this possible. One person who always had a hand on my back pushing me to achieve what she knew I was capable of. One person who believed in me more than I believed in myself. With all my heart and soul I want to thank my wife Angel. Thank you for all the sacrifices you have made. Thank you for believing in me! I dedicate this dissertation to my son Sam and daughter Lilly. May you always pursue your dreams and never settle for anything less than your best! I love you both and am very proud to be your Dad.

I would also like to acknowledge and thank Dr. Cynthia Reed for her countless hours of support and help over the last six years. I will always be grateful to you for your guidance, mentorship, support, and most of all your friendship. I also want to thank Dr. Lisa Kensler for her commitment and dedication to me. Your guidance and dedication will always be appreciated; I would love the opportunity to work with you in the future. Acknowledgement and thanks also goes to Dr. Maria Witte. Thank you for your willingness to serve on this committee at the last minute. I have really enjoyed working with you and greatly appreciate all that you have done. I would also like to thank Dr. John Dagley. Thank you for once again touching my life in a positive way. I greatly appreciate you being a part of this journey for me.

I am honored to acknowledge those who have touched my life, making the completion of this dissertation possible: family: Dan Chester Ross, Susan Marie Ross, Mark Daniel Ross, and Lucille Ross. Friends: Dan and Anne Sheets, Greg and Angie Piatt, Rachael McDaniel, Dana Einfeld, and Ken Robinson.

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CHAPTER I. INTRODUCTION

Across the United States, federal and state accountability standards have heightened the general public's awareness of the academic strengths and weaknesses of public schools. For example, No Child Left Behind (NCLB; 2001) highlighted statistics illustrating the failure of some public schools. An example of this failure is 70% of inner-city fourth grade students struggle to meet state and national grade level accountability measures in the area of reading (Chenoweth, 2007). No Child Left Behind also put a spotlight on the qualifications of teachers and administrators (WestEd, 2007). School districts must now ensure that each teacher has the proper certifications before hiring them. The term "high qualified" is starting to become common language within the general public when discussing schools and educators. NCLB gave parents with children attending failing schools, defined as schools not meeting Annual Yearly Progress (AYP) three years in a row (U.S Department of Education, 2001), the choice to send their child out of district to a successful school. Failing schools face sanctions such as loss of funding and requirements for the restructuring of staff. There have even some schools that have been closed due to their unsuccessful attempts at bringing the level of student achievement to an appropriate level (Chenoweth, 2007). Under NCLB states are now required to publish a report card for each public school within the state. These report cards give the general public a snapshot of progress being made and success rates at the school. Some researchers suggest that this increase in public awareness may encourage school leaders to focus more on student achievement (Denbo & Beaulieu, 2002; Marano 2003). Although the pressures and challenges

that NCLB place on school leaders across this nation were easily met in some schools and school districts where student achievement has always been high, for high poverty-high minority schools the challenges of NCLB may seem hopelessly impossible (Carter, 2000). Many students living in low socio-economic areas face serious challenges within their educational system (Carter, 2000). Historically high-poverty urban schools with large concentrations of students of color have been associated with low student achievement (Darling-Hammond, 2000; Kozol, 1991).

No Child Left Behind requires schools to have every student on grade level by 2014. Many school districts will have difficulties meeting this requirement, but this mandate is perhaps even more of a challenge when 90% of a school's students come from poverty stricken families. Finding any teacher to work in high poverty schools is sometimes a challenge, let alone a "highly qualified" teacher as deemed by NCLB (Clotfelter, Ladd, Vigdor, & Wheeler, 2007). The students who make up the population of high-poverty schools many times come from broken homes where putting food on the table is the priority, not homework (Payne, 2005). Principals in these schools tend to focus more attention on discipline and keeping order than raising the academic success of each and every student (Ingersoll, 2004).

Problem Statement

Our educational system faces serious challenges for many students living in low socio-economic areas. For decades education research has shown evidence of low student achievement in high-poverty urban schools with large concentrations of students of color (Darling-Hammond, 2000; Kozol, 1991). Yet, high-performing, high-poverty schools (HHP) can be found in many areas throughout our nation (Chenoweth, 2007). There are many names for HHP schools, such as turnaround schools (Murphy & Meyers, 2008) or 90/90/90 schools (Carter, 2000). The term

‘90/90/90’ refers to schools that have 90% or more of their student population eligible for free or reduced lunch, 90% are considered to be of minority status, and 90% or more of these students have met or exceeded state academic standards (Reeves, 2003). The Alabama Leadership Academy in 2004 created the Torchbearer School Program to recognize high-performing, high-poverty schools within the state of Alabama. According to some researchers, the organizational structures, belief systems, and leadership behaviors supporting achievement in high performing, high poverty schools are remarkably similar throughout the nation (Darling-Hammond, 2000; Denbo & Beaulieu, 2002; Kozol, 1991; Marano, 2003; Washington Learns, 2006). Research has also shown that there are some very clear patterns regarding successful forms of intervention and common HPHP characteristics across the nation (Carter, 2000; Jerald, 2001). These successful interventions need to be shared with all school leaders so that all children of poverty are given the opportunity to succeed, regardless of their socioeconomic level or minority status (Carter, 2000; Chenoweth 2007).

Purpose of the Study

The purpose of this research is to identify the behaviors and attributes of HPHP principals along with the strategies and methods they use that result in the high success rates at their schools. By researching and identifying the organizational systems, principal behaviors, and principal beliefs it may serve as a guide to help other principals who are struggling to meet the challenges of NCLB. By examining these leadership qualities and strategies a deeper understanding of the types of leadership, structures, and policies that promote improved learning for all students may be developed.

Significance of the Study

Casey (2000) states that high-performing, high-poverty schools are doing what many educators have considered impossible. HPHP schools take children who are considered hard to teach and teach them in ways that ensure they achieve academic success. It is imperative that further research be done to show how the leader within these HPHP schools is promoting high student achievement (Masumoto & Brown-Welty, 2009). It is crucial that additional research be done to learn more about the significant role educational leaders play in the development of strong teachers, implementation of high instructional standards, resulting in improved outcomes of student learning and achievement. The ways that principals meet the demands and address dynamics of leadership within a HPHP may be a model of success for any school leader to follow (Masumoto & Brown-Welty, 2009).

Research Design

Using the underlying assumptions that all children can learn and principals are integral to student academic success, this study examined the behaviors of high-performing, high-poverty school principals and the belief systems that they operate from to develop a deeper understanding of the types of leadership, structures, and policies that promote improved learning for all students. A qualitative case study research design was used to conduct this research (Anderson & Davenport, 2002; Chenoweth, 2007). The researcher conducted this research at four Torchbearer Schools in Alabama.

Individual case studies were created for each school site. After considering each case separately, a cross-case analysis was conducted. In qualitative research it is crucial that the researcher not rely on only one method of data collection. Data should be triangulated to ensure greater rigor (Maxwell, 1996). Multiple data sources including the use of an in-depth semi-

structured interview protocol with the principals, a principal self-assessment survey (Principal Instructional Management Rating Scale, PIMRS; Hallinger, 1982), a whole staff survey (PIMRS; Hallinger, 1982), document analysis, and field notes were collected while shadowing each principal provided access to multiple perspectives and data sources, allowing for triangulation of data.

The researcher interviewed the principal of each Torchbearer School. The interview questions were designed to ascertain information on the demographics of the school and the background of the principal. The principal of each school site also completed the principal self-assessment survey. This research included the use of a teacher survey administered to all of the teachers in each of the purposefully selected Torchbearer schools. The Principal Instructional Management Rating Scale (PIMRS) was disseminated to the teaching faculty of each purposefully selected school. A review of documents was used to obtain additional insight regarding the focus of the teachers, the school improvement team and any other programs aimed at helping student achievement. Each school principal was shadowed for the period of one school day. The researcher met with the principal at the normal arrival time of each principal. The researcher took field notes throughout the entire workday of each principal.

Research Questions

The purpose of this research was to help identify what belief systems, organizational structures, and principal behaviors the principals in four Torchbearer Schools in Alabama are using to drive student achievement. Three research questions were used to guide the study:

- 1) What organizational structures, belief systems, and leadership behaviors support achievement in torch bearer schools?

2) What support systems are in place to facilitate the use of these structures, systems, and approaches?

3) What role(s) does the principal play when developing and implementing these structures, systems, beliefs, and leadership behaviors?

Site Selection

The Alabama Leadership Academy at the Alabama State Department of Education created the Torchbearer School Program in 2004. This program recognizes schools across the state of Alabama for being a high-poverty high-performing public school. The idea for this program came from a book study that the Leadership Academy did in 2004. This group read and studied Samuel Casey-Carter's (2000) book, *No Excuses: 21 Lessons From High-Performing, High-Poverty Schools*. During the 2010–2011 school year, 11 schools were awarded the honor of being identified as a Torchbearer School. This research utilized a purposeful sampling approach and focused on four of these schools. The 2010–2011 Torchbearer Schools selected for this research were [pseudonyms were used to blind the actual identity of the four schools in this study]: Black Hills Elementary School, Central Elementary School, Pine Hill Elementary School, and Northview Elementary School.

Each of these four schools was chosen for their exceptional student achievement as well as for the principal tenure. Another criterion for selection in this research sample is that the principals of each of these schools were present before, during, and after the Torchbearer status was achieved. Since 2007 the criteria to be eligible to win this prestigious award includes the following:

- Identified as Meeting the Challenge School, Advancing the Challenge School, and Exceeding the Challenge School according to the state rewards plan;

- Have at least an 80 percent poverty rate (percent free/reduced-price meals);
- Have at least 80 percent of students to score at Levels III or IV on the Reading section of the *Alabama Reading and Mathematics Test* (ARMT);
- Have at least 80 percent of students to score at Levels III or IV on the Math section of the *Alabama Reading and Mathematics Test* (ARMT);
- Have at least 95 percent of twelfth-grade students pass all required subjects of the *Alabama High School Graduation Exam* (AHSGE); and
- Have a graduation rate above the state average (high schools).

Retrieved from <http://alex.state.al.us/showleaderpg.php?lnk=torch>

Situating Self as Researcher

As a current high poverty high school principal, this research may be directly used to inform my own leadership practices. By dissecting the beliefs, organizational systems, and principal behaviors of these highly successful school leaders, I expect to learn more about what I need to do to lead my school towards excellence. Spending time in these schools and developing a working relationship with the principals may provide me with a powerful mentoring opportunity. It is my responsibility as the school's instructional leader to seek out best practices for my school. This research may provide me that opportunity. Another goal of this research is the hope that this research will allow me the opportunity to mentor other school leaders on the best practices that I uncover within these torchbearer schools.

Summary

The No Child Left Behind Act of 2001 (NCLB) has brought the general public's attention to classroom accountability (Billman, 2004). The public accountability that comes with NCLB has left many public schools fighting to stay off the state's failing schools list. Yet, research has

shown that high-performing, high-poverty schools can be found in many areas throughout our nation (Chenoweth, 2007). It is imperative that further research be done to show how the leadership within these HPHP schools is promoting high student achievement (Masumoto & Brown-Welty, 2009). The purpose of this research is to help identify the beliefs, organizational systems and behaviors of HPHP principals in Torchbearer schools in Alabama. Identifying these principal beliefs, behaviors, systems may serve as a guide to help other principals that are working to improve the quality of learning for all students.

CHAPTER II. REVIEW OF LITERATURE

Introduction

Many students living in low socio-economic areas face serious challenges within the educational system. Some education researchers have said for years that high-poverty urban schools with large concentrations of students of color have been associated with low student achievement (Darling Hammond, 2000; Kozol, 1991). Despite the educational trend, research-based structures and systemic practices can contribute to high student performance in high poverty schools in some areas (Denbo & Beaulieu, 2002; Marano, 2003; Washington Learns, 2006). School characteristics such as school cultures that promote high expectations for all, high levels of trust, active student engagement, and an atmosphere of collaboration have all been shown to have a positive influence on student learning in high poverty schools (Chenoweth, 2007). This chapter provides an overview of research related to having a positive impact on the classroom instruction in high-performance, high-poverty schools (HHP). These factors include organizational structures, policies, and systemic practices supporting high achievement; the importance of school culture and climate; and effective leadership models. Principal beliefs and behaviors are also reviewed. Further, this chapter highlights the importance of high quality teachers and how to recruit and retain strong teachers for HHP schools. The successful curriculums and assessments that HHP schools use to achieve the high level of accountability for their students are dissected and discussed. This chapter looks at remediation programs that

have been successful in these schools and how these schools challenge their students with enrichment programs. The following sections of this chapter explore research related to HPHP schools and their reasons for their success.

High-Performing, High Poverty Schools

High-performing, high-poverty schools can be found nationwide. These HPHP schools are sometimes referred to as turnaround schools (Fullan, 2010) or 90/90/90 schools (Carter, 2000). In 1995, the term 90/90/90 was coined by an author of a research study on the high-performing, high-poverty schools in Milwaukee, Wisconsin. The term refers to schools that have 90% or more of their student population eligible for free or reduced lunch, 90% are considered of minority status, and 90% or more of these students have met or exceeded state academic standards (Reeves, 2003). In December of 2004, the Alabama Leadership Academy created the Torchbearer School Program to recognize high-performing, high-poverty schools within the state of Alabama. To be eligible for this prestigious award schools must meet or exceed the following criteria:

- Have at least 80% of students on free or reduced lunch
- Have at least 80% of students score Level III or Level IV on the reading section of the Alabama Reading and Mathematics Test.
- Have at least 80% of students score Level III or Level IV on the math section of the Alabama Reading and Mathematics Test.
- Have at least 65% of students score in stanines 5-9 on Stanford 10 reading.
- Have at least 65% of students score in stanines 5-9 on Stanford 10 mathematics.
- Have at least 95% of Grade 12 students pass all required subjects of the Alabama High School Graduation Exam.

- Have a graduation rate above state average.

(Alabama State Department of Education, 2005)

The organizational structures, belief systems, and leadership behaviors supporting achievement in high performing high poverty schools are remarkably similar throughout the nation according to some research (Darling-Hammond, 2000; Denbo & Beaulieu, 2002; Kozol, 1991; Marano, 2003; Washington Learns, 2006). There are some very clear patterns regarding successful forms of intervention and common HPHP characteristics across the nation (Carter, 2000; Jerald, 2001). One key finding in the literature is that HPHP schools commonly have a strong leadership structure at the local school and district level (Anderson, 2005). High levels of parental and community commitment to the school are present in most HPHP schools (Carter, 2000). The importance of being life-long learners and having relevant, high quality professional development for teachers is another common thread that link HPHP schools (Chenoweth, 2007). The importance of driving instruction by using student data on several assessments that are directly tied to the state standards is perhaps one of the leading connections that HPHP schools share (Chenoweth, 2007; Kannapel & Clements 2005).

Another driving force behind HPHP schools is a master schedule that allows for teacher collaboration. This time for teachers and administration to collaborate leads towards a school that is focused on the same vision and set of goals. This model also allows for more shared leadership within the school, another common attribute among HPHP schools (Chenoweth, 2007; Kannapel & Clements 2005). Research suggests that a school leader must embrace a shared leadership model if they are going to successfully accomplish the demands that come from leading a HPHP school (Izumi, 2002). Each of these areas is discussed further in the following pages.

Leadership Structure at the School and District Level

There has been little research conducted on the contributions of district level leadership in high poverty/high performing schools (Anderson, 2005). One of the leading research studies that considers district level leadership looked at eight Colorado schools that are successfully closing the achievement gap (Anderson, 2005). This research was conducted after a 2005 study by the Colorado Children's Campaign (CCC) reported that nearly 400,000 students, on average, were below proficient on the Colorado Student Assessment Program (CSAP). Despite these findings the CCC also reported on several schools in Colorado that were making significant gains on the achievement gap. The CCC report recognized these schools, but did not discuss how these schools were obtaining these positive results. The research team in this Colorado study analyzed all schools in the state, identifying the schools that were closing the achievement gap. The team then worked to provide insight and information regarding the types of programs, services, and resources which these schools employ. Several ideas about how district leadership could improve student achievement emerged from this research. Each of these is discussed in the following paragraphs.

Schools and students benefit when district leaders clearly articulate what expectations are, how these expectations will be measured, and how schools will be held accountable for successfully achieving these expectations (Anderson, 2005). Another way that districts can make strides toward improving student achievement is by exploring school hours and transportation (WestEd, 2007). Districts should explore how they can extend the school day and provide transportation to allow students to benefit from afterschool activities (WestEd, 2007). Many HPHP school students are going home to an empty house (Kozal, 1991). Districts can

some parents do not trust the schools and in turn the professionals do not trust the minority and low-income families (Noguera, 2003). Some of the barriers to trust for minority and low-income parents are past negative experiences with schools, unsatisfactory school-home communication, incongruent teacher and parent expectations, and parent experiences with discrimination (Brewster & Railsback, 2003). Some minority and low-income families feel alienated from schools because of the rigid and defensive attitudes of teachers and administrators that perceive them as “problems” (Noguera, 2003).

The No Child Left Behind Act (NCLB) mandates the establishment of family-community partnerships in Title 1 schools (U.S. Department of Education, 2001). Ferguson (2003) states that the school-family-community partnership provision is being overlooked; yet, such partnerships may hold a key to reducing the achievement gap between White, poor, and minority students in public schools. Research indicates that school-family-community partnerships improve school programs and school climate, connect families with others in the school, increase parents’ skills and leadership, and improve a student’s chances of success in school and life (Henderson & Mapp, 2002).

Successful principals of HPHP schools know and understand that an outstanding school is a source of pride and a sign of stability for any community, but this is especially true in a poor community (Noguera, 2003). Highly effective principals work with parents and the community in several ways outside of school to help increase parent involvement within the school (Kannapel & Clements, 2005).

Billman (2004) states that the majority of high-performing, high-poverty school principals attempt to establish strong relationships with parents and the community and are constantly pursuing ways to involve parents in the school. To maximize parent and community

HPHP schools is to have staff members who function in the role of in-house curriculum experts. These staff members have reduced teaching loads, or none at all. Their primary responsibility is to support other teachers. These curriculum experts attend workshops and research conferences to support the staff by bringing back the new information and presenting it to their colleagues (Carter, 2000). This practice allows most teachers to stay where they are needed most, in their classroom. The curriculum experts also spend a considerable amount of time doing classroom observations and modeling lessons for the teachers to further develop their understanding of the new information. One-on-one and team discussions about how the new strategies or resources are working are also conducted by the curriculum expert (Carter, 2000). Another approach used by some HPHP schools is to treat the teachers as the professionals they are and allow them the time to design and deliver professional development for the other teachers. This encourages a greater sense of ownership over the type of training the teachers receive, as well as greatly increasing the impact that such training provides (Anderson, 2005).

Data Driven Decision-Making

The key ingredient to all the HPHP schools nationwide may be the use of student assessment data to drive instruction (Chenoweth, 2007). Students in these high-performing schools take several benchmark assessments throughout the year to help teachers understand how to better design their classroom instruction. Students who emerge as borderline or below grade level receive more individualized and intense instruction, focused interventions, and more frequent informal assessments to ensure they are grasping the concepts being taught (Kannapel & Clements, 2005).

Principals in HPHP schools work hard to ensure that the staff receives extensive training on how to make data analysis meaningful. Data usage must become part of the culture of a

school to effectively reach all students (Chenoweth, 2007). Teachers must be instructed on how to disaggregate data into components that help define specific areas of student need (Picucci, Brownson, Rahel & Sobel, 2002). Both administrators and teachers use data in decision making to focus and best reach the curriculum and instructional needs of the students. Many of these schools not only examine current data, but also take advantage of data from other schools if available (Kannapel & Clements, 2005). Some HPHP middle schools found it beneficial to analyze the skill level of each incoming student by using existing data from their elementary school (Carter, 2000). Most HPHP schools use data to build capacity that will support improved academic achievement. “Data use contributes to the institutional knowledge of schools and it helps guide schools through informed decision making” (Picucci, Brownson, Rahel & Sobel, 2002, pg. 24).

Teacher Collaboration

Several researchers have identified collaboration and teamwork among school staff as a typical feature in HPHP schools (Carter, 2000; Kannapel & Clements, 2005; Picucci, Brownson, Rahel & Sobel, 2002). Communication takes places across grade levels and teaching areas. In these situations, teachers are eager to learn from one another (Kannapel & Clements, 2005; Ragland et al., 2002). Collaboration and teamwork provide venues for educators to assist one another while looking to improve teaching strategies and meet specific academic standards. During collaborative time, teachers can address barriers to learning, collaborate, and identify solutions, as well as take part in school-wide interventions (Chenoweth, 2007). Feldman (2003) found that HPHP schools set aside significantly greater amounts of time for collaborative planning time.

In HPHP schools teachers help, support, challenge, and create a system of best practices for students (Chenoweth, 2007). They work tirelessly to find ways to reach students and to challenge all students to reach beyond their potential. There is no “my students” or “your students” in these schools. Rather, teachers work to ensure the success of all students (Kannapel & Clements, 2005). In HPHP schools you may find teachers working with other teachers and getting rid of the dividing lines that normally separate a school. It is not uncommon to see a fifth grade teacher working with a third grade teacher to find a better way to reach a particular student (Feldman, 2003). Teachers work to create common assessments and other activities to capitalize on the learning of their students. Small groups are used for remediation and challenge groups to help other students excel at a higher level (Kannapel & Clements, 2005). Creating lesson plans that allow teachers to be teaching the same skills and concepts is another way to help ensure each student is being reached. It is also common to have grade level meetings weekly to discuss assessment data and lesson plans for the next week (Ragland, 2002)

In some cases, especially in the elementary setting, teachers use curriculum programs to further ensure collaboration (Carter, 2000). This type of practice among teachers not only promotes teachers taking responsibility for their own students, but also for all the other students on campus using this program (Ragland, 2002). A shared curriculum establishes a road map for the teachers to follow, and ensures that everyone is moving in the same direction. This can establish a feeling that teachers are not alone, but rather they are a member of a team dedicated to the overall success of the school (Murphy & Meyers, 2008). Many HPHP schools have reading programs that all teachers and staff, including administration and support staff, are involved in teaching. The whole school may come to a stand-still and reading instruction is taking place in every nook and cranny within the school building (Ragland, 2002).

general public's attention straight to the classroom. The accountability that comes with NCLB has left many public schools fighting to stay off the states' failing schools list. Chapter three presents an overview of the research methods used in this study. The purpose of this research is to help identify the beliefs, organizational systems and behaviors of selected HPHP principals and the strategies and methods used in their HPHP schools. Identifying these principal beliefs, behaviors, and systems may serve as a guide to help other principals who are working to raise the achievement levels in their schools.

